

## Math 566 - Homework 4

SOLUTIONS

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1. Let  $R$  be a ring, and  $I$  an ideal of  $R$ . Show that if  $R$  is a principal ideal ring (a ring in which every ideal is principal), then  $R/I$  is a principal ideal ring. Do not assume  $R$  is commutative or has a unity.

**Proof.** Let  $K$  be an ideal of  $R/I$ ; we want to show that  $K$  is principal. By the Isomorphism Theorems, we know that  $K$  is an ideal of the form  $J/I$ , for some ideal  $J$  of  $R$  that contains  $I$ . Since we are assuming that  $R$  is a principal ideal ring, we know that there exists  $a \in R$  such that  $J = (a)$ .

We claim that  $K = (a + I)$ . Indeed, since  $a \in J$ , then  $a + I \in \pi(J) = K$  (where  $\pi: R \rightarrow R/I$  is the canonical projection); thus,  $K$  contains  $(a + I)$ , the smallest ideal of  $R/I$  that contains  $a + I$ . Thus,  $(a + I) \subseteq K$ .

Now let  $x \in K$ . Then  $x = \pi(b)$  for some  $b \in J = (a)$ . Thus,  $b$  can be written as

$$b = na + ra + as + \sum_{i=1}^m r_i a s_i,$$

with  $n \in \mathbb{Z}$ ,  $m \in \mathbb{N}$ ,  $r, s, r_i, s_i \in R$ . Therefore,

$$\begin{aligned} x = \pi(b) &= \pi \left( na + ra + as + \sum_{i=1}^m r_i a s_i \right) = n\pi(a) + \pi(ra) + \pi(as) + \sum_{i=1}^m \pi(r_i a s_i) \\ &= n(a + I) + (r + I)(a + I) + (a + I)(s + I) + \sum_{i=1}^m (r_i + I)(a + I)(s_i + I). \end{aligned}$$

Now we observe that each of  $n(a + I)$ ,  $(r + I)(a + I)$ ,  $(a + I)(s + I)$ , and  $(r_i + I)(a + I)(s_i + I)$  lie in  $(a + I)$ , since it is an ideal; thus,  $x \in (a + I)$ , proving that  $K \subseteq (a + I)$ . Thus,  $K$  is principal generated by  $a + I$ , as desired.  $\square$

2. Let  $R = \mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$ . This is a unital subring of  $\mathbb{C}$  (you may take this for granted). Define  $N: R \rightarrow \mathbb{Z}$  by

$$N(a + b\sqrt{-5}) = (a + b\sqrt{-5})(a - b\sqrt{-5}) = a^2 + 5b^2.$$

- (i) Show that  $N$  is multiplicative: if  $x, y \in R$ , then  $N(xy) = N(x)N(y)$ .

**Proof.** We can note that  $N(r) = r\bar{r}$  for each  $r \in \mathbb{Z}[\sqrt{-5}]$ , where  $\bar{r}$  is the complex conjugate of  $r$  (since  $R \subseteq \mathbb{C}$ ). Then the properties of complex conjugation give

$$N(rs) = (rs)(\overline{rs}) = r\bar{r}s\bar{s} = N(r)N(s).$$

Or we can verify this directly: let  $x = a + b\sqrt{-5}$ ,  $y = r + t\sqrt{-5}$ . Then:

$$\begin{aligned} N(xy) &= N((ar - 5bt) + (at + br)\sqrt{-5}) = (ar - 5bt)^2 + 5(at + br)^2 \\ &= a^2r^2 - 10abrt + 25b^2t^2 + 5a^2t^2 + 10abrt + 5b^2r^2 \\ &= a^2r^2 + 25b^2t^2 + 5a^2t^2 + 5b^2r^2. \\ N(x)N(y) &= (a^2 + 5b^2)(r^2 + 5t^2) = a^2r^2 + 5a^2t^2 + 5b^2r^2 + 25b^2t^2. \end{aligned}$$

So we have equality.  $\square$

(ii) Show that  $N(x) \geq 0$  for all  $x \in R$ , with equality if and only if  $x = 0$ .

**Proof.** Since  $a, b \in \mathbb{Z}$ , we have that  $N(a + b\sqrt{-5}) = a^2 + 5b^2 \geq 0$ , and  $N(a + b\sqrt{-5}) = 0$  if and only if  $a = b = 0$ .  $\square$

(iii) Show that  $N(x) = 1$  if and only if  $x$  is a unit in  $R$ . Determine all units of  $R$ .

**Proof.** If  $N(x) = 1$ , then  $(a + b\sqrt{-5})(a - b\sqrt{-5}) = 1$ , so  $a + b\sqrt{-5}$  has  $a - b\sqrt{-5}$  as a multiplicative inverse.

Conversely, if  $x$  is a unit, then there exists  $y$  such that  $xy = 1$ . Using (i), we have

$$1 = N(1) = N(xy) = N(x)N(y).$$

Since  $N(x)$  and  $N(y)$  are nonnegative integers, this implies that  $N(x) = 1$ .

So now suppose that  $a + b\sqrt{-5}$  is a unit in  $R$ . Then  $a^2 + 5b^2 = 1$ , and since  $a, b$  are integers this forces  $b = 0$ . Thus,  $a^2 = 1$ , and hence the only units in  $R$  are 1 and  $-1$ .  $\square$

(iv) Show that if  $a, b \in R$  and  $a \mid b$  in  $R$ , then  $N(a) \mid N(b)$  in  $\mathbb{Z}$ .

**Proof.** Suppose that  $a, b \in R$  and  $a \mid b$ . Then there exists  $x \in R$  such that  $ax = b$ , hence

$$N(b) = N(ax) = N(a)N(x).$$

Since  $N(a)$ ,  $N(x)$ , and  $N(b)$  are all integers, this shows that  $N(a) \mid N(b)$  in  $\mathbb{Z}$ .

(v) Show that 2, 3,  $1 + \sqrt{-5}$ , and  $1 - \sqrt{-5}$  are irreducible in  $R$ .

**Proof.** Note that  $N(2) = 4$ ,  $N(3) = 9$ , and  $N(1 \pm \sqrt{-5}) = 6$ . So none of them are units. They are certainly not zero.

If  $2 = xy$  in  $R$ , then  $N(x) \mid N(2) = 4$ . If  $N(x) = 1$ , then  $x$  is a unit and we are done. Since  $a^2 + 5b^2 = 2$  has no solutions with  $a$  and  $b$  integers, we cannot have  $N(x) = 2$ . And if  $N(x) = 4$ , then  $N(y) = 1$ , so  $y$  is a unit. Thus, if  $2 = xy$ , then either  $x$  is a unit or  $y$  is a unit, proving that 2 is irreducible.

Similarly, since  $a^2 + 5b^2 = 3$  has no solutions with  $a$  and  $b$  integers, if  $3 = xy$  holds in  $R$ , then  $9 = N(x)N(y)$ , so either  $N(x) = 1$  (so  $x$  is a unit), or  $N(x) = 9$  and then  $N(y) = 1$  (so  $y$  is a unit). Thus, 3 is irreducible.

If  $1 + \sqrt{-5} = xy$  and  $N(x) \neq 1$ , then it must equal 6 (since it cannot equal 2 or 3, but  $N(1 + \sqrt{-5}) = 6$ ); so then  $N(y) = 1$ . Thus, either  $x$  or  $y$  are units, and hence  $1 + \sqrt{-5}$  is irreducible. The exact same argument shows that  $1 - \sqrt{-5}$  is also irreducible.  $\square$

(vi) Show that none of 2, 3,  $1 + \sqrt{-5}$ , and  $1 - \sqrt{-5}$  are prime.

**Proof.** Note that  $(2)(3) = 6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ .

However, 2 cannot divide either  $1 + \sqrt{-5}$  or  $1 - \sqrt{-5}$ , since  $N(2) = 4$  does not divide  $6 = N(1 \pm \sqrt{-5})$ . Similarly, 3 cannot divide either, since  $N(3) = 9$  does not divide 6. So both 2 and 3 divide a product but do not divide either factor, showing they are not prime.

Likewise, neither  $1 + \sqrt{-5}$  nor  $1 - \sqrt{-5}$  can divide 2 or 3, since  $N(1 \pm \sqrt{-5}) = 6$  does not divide either  $N(2) = 4$  nor  $N(3) = 9$ . So they both divide a product without dividing either factor, proving that they are not prime.  $\square$

3. A complex number  $z$  is an *algebraic integer* if and only if there is a monic polynomial  $p(x)$  with integer coefficients,

$$p(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0, \quad a_i \in \mathbb{Z}$$

such that  $p(z) = 0$ . The set  $\mathbb{A}$  of all algebraic integers forms a subring of  $\mathbb{C}$  (you may take this for granted).

- (i) Prove that the only rational numbers that are algebraic integers are the integers.

**Proof.** Let  $a$  and  $b$  be integers,  $b > 0$ ,  $\gcd(a, b) = 1$ , and assume that  $\frac{a}{b}$  is an algebraic integer. Then there exists a monic polynomial with integer coefficients,

$$p(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0,$$

such that  $p(\frac{a}{b}) = 0$ . By the Rational Root Test, we know that  $a \mid a_0$  and  $b \mid 1$ . Thus,  $b = 1$ , so  $\frac{a}{b} = a \in \mathbb{Z}$ . Hence, any rational number that is an algebraic integer must in fact be an integer.

Finally, if  $a \in \mathbb{Z}$ , then  $a$  is a root of  $x - a$ , so every integer is an algebraic integer.  $\square$

- (ii) Prove that  $\mathbb{A}$  is not a field, but has no irreducible elements and no primes.

**Proof.** To show that  $\mathbb{A}$  is not a field, note that  $2 \in \mathbb{A}$ , but  $\frac{1}{2} \notin \mathbb{A}$ , by part (i). Thus, not every nonzero element of  $\mathbb{A}$  has a multiplicative inverse, and thus  $\mathbb{A}$  is not a field.

To show it has no irreducibles, we note that if  $\alpha$  is an algebraic integer, and  $\beta$  is a complex number such that  $\beta^2 = \alpha$ , then  $\beta$  is an algebraic integer; that is, both complex square roots of an algebraic integer are algebraic integers.

Indeed, if  $\alpha$  satisfies the polynomial

$$p(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0$$

with  $a_i \in \mathbb{Z}$ , and  $\beta^2 = \alpha$ , then  $\beta$  satisfies the polynomial

$$p(x^2) = x^{2n} + a_{n-1}x^{2(n-1)} + \cdots + a_1x^2 + a_0,$$

which is a monic polynomial with integer coefficients. So  $\beta$  is an algebraic integer.

Now let  $\alpha \in \mathbb{A}$  be a nonzero nonunit. If  $\alpha$  is not a unit, and  $\beta^2 = \alpha$ , then  $\beta$  is not a unit: for if  $\beta\gamma = 1$ , then  $\alpha\gamma^2 = 1$ . And since such a  $\beta$  exists (because the complex numbers contain square roots of each complex number) it follows that  $\alpha$  is not irreducible. Hence,  $\mathbb{A}$  has no irreducibles.

Since prime elements are always irreducible in a domain, it follows that  $\mathbb{A}$  has no prime elements either.  $\square$

4. A proper ideal  $I$  of a commutative ring with unity  $R$  is said to be a *primary ideal* if and only if for all  $a, b \in R$ , if  $ab \in I$ , then either  $a \in I$  or  $b^n \in I$  for some  $n \geq 1$ . Determine the primary ideals of  $\mathbb{Z}$ .

**Answer.** Let  $(r)$  be an ideal of  $\mathbb{Z}$ , and suppose that  $(r)$  is primary. That means that if  $r \mid ab$ , then either  $r \mid a$  or  $r \mid b^n$  for some  $n \geq 1$ . This suggests that  $r$  must be the power of prime or 0.

Indeed: let  $p$  be a prime, and consider  $(p^m)$ ,  $m \geq 1$ . If  $p^m \mid ab$ , let  $k \geq 0$  be the largest integer such that  $p^k \mid a$ . If  $k \geq m$ , then  $a \in (p^m)$ . If  $k < m$ , then  $p \mid b$ , and therefore  $p^m \mid b^m$ , proving that  $b^m \in (p^m)$ . Thus,  $(p^m)$  is primary. And  $(0)$  is a prime ideal of  $\mathbb{Z}$ , and hence is primary.

Conversely, if  $r$  is not a prime power and not 0. If  $r$  is a unit, then  $(r) = \mathbb{Z}$  is not a proper ideal. If  $r$  is not zero, not a unit, and not a prime power, then there exist two primes,  $p \neq q$ , such that  $p \mid r$  and  $q \mid r$ . Write  $r = p^i q^j s$ , where  $i \geq 1$ ,  $j \geq 1$ , and  $s$  is an integer such that  $p \nmid s$  and  $q \nmid s$ . Let  $a = p^i$ ,  $b = q^j s$ . Then  $a \notin (r)$  (since  $q \mid r$  but  $q \nmid a$ ); and  $b^n \notin (r)$  for all  $n \geq 1$  since  $p \nmid b^n$ . Thus,  $(r)$  is not a primary ideal.  $\square$

5. Let  $R$  be a commutative ring with unity, and let  $X$  be a nonempty subset of  $R$ . We say that  $d$  is a greatest common divisor of  $X$  if and only if

- (i) For every  $x \in X$ ,  $d \mid x$ ; and
- (ii) If  $c \in R$  is such that  $c \mid x$  for all  $x \in X$ , then  $c \mid d$ .

Prove that if  $R$  is a commutative principal ideal ring with unity, then every nonempty (possibly infinite) set of elements of  $R$  has a greatest common divisor.

**Proof.** Let  $X$  be a nonempty subset of  $R$ , and let  $(X)$  be the ideal generated by  $X$ . Since  $R$  is a principal ideal ring, then there exists  $d \in R$  such that  $(X) = (d) = Rd$  (the last equality because  $R$  is commutative with unity).

We prove that  $d$  is a greatest common divisor of  $X$ . If  $x \in X$ , then  $x \in X \subseteq (X) = (d) = Rd$ , so there exists  $a \in R$  such that  $x = ad$ . Thus,  $d \mid x$ .

Now let  $c \in R$  be such that  $c \mid x$  for all  $x \in X$ . Then  $x \in (c)$  for all  $x \in X$ , then  $X \subseteq (c)$ , and thus  $(d) = (X) \subseteq (c)$ . Since  $(d) \subseteq (c)$ , we have  $c \mid d$ , as required.

Thus,  $d$  is a greatest common divisor of  $X$ , as desired.  $\square$

6. Let  $R$  be a commutative ring with unity. Show that if  $x \in R$  is nilpotent, then  $1_R - x$  and  $1_R + x$  are both units.

**Proof.** Let  $x$  be nilpotent, and let  $n \geq 1$  be such that  $x^n = 0$ . If  $n = 1$ , then  $x = 0$ , so  $1_R - x = 1_R$  is a unit. If  $n > 1$ , then

$$(1_R - x)(1_R + x + x^2 + \cdots + x^{n-1}) = (1_R + x + x^2 + \cdots + x^{n-1}) - (x + x^2 + \cdots + x^n) = 1_R - x^n = 1_R,$$

so  $1_R - x$  is a unit. To finish, note that if  $x$  is nilpotent then so is  $-x$ , and therefore by what we have just shown it follows that  $1_R - (-x) = 1_R + x$  is a unit.  $\square$

7. Let  $R$  be a commutative ring, and let  $A \subseteq R$ . Let

$$\sqrt{A} = \{r \in R \mid \text{there exists } n > 0 \text{ such that } r^n \in A\}.$$

Prove that if  $I$  is an ideal of  $R$ , then  $\sqrt{I}$  is an ideal of  $R$  that contains  $I$ . The ideal  $\sqrt{I}$  is called the *radical of  $I$* .

**Proof.** Note that  $\sqrt{I}$  is nonempty, since  $I \subseteq \sqrt{I}$ .

Let  $a, b \in \sqrt{I}$ . Then there exists  $n, m > 0$  such that  $a^n \in I$  and  $b^m \in I$ . Then

$$(a - b)^{n+m} = a^{n+m} + (-1)^{n+m}b^{n+m} + \sum_{j=1}^{n+m-1} \binom{n+m}{j} a^j b^{n+m-j}.$$

Since  $n, m > 0$  and  $I$  is an ideal, then  $a^{n+m} = a^n a^m \in I$ , and  $b^{n+m} = b^n b^m \in I$ . If  $j \leq n$ , then  $n+m-j \geq m$ , so  $b^{n+m-j} \in I$ , and if  $j > n$  then  $a^j \in I$ . Hence, every summand in the expression lies in  $I$ .

Thus,  $(a - b)^{n+m} \in I$ , which proves that  $a - b \in \sqrt{I}$ . Thus,  $\sqrt{I}$  is a subgroup of  $R$ .

Now let  $a \in \sqrt{I}$  and  $r \in R$ . We need to show that  $ra \in \sqrt{I}$ . Since  $a \in \sqrt{I}$ , there exists  $n > 0$  such that  $a^n \in I$ . Then  $(ra)^n = r^n a^n \in I$  (since  $I$  is an ideal), so  $ra \in \sqrt{I}$ . This proves that  $\sqrt{I}$  is an ideal.  $\square$

8. Let  $R$  be a commutative ring with unity. Show that  $\sqrt{(0)}$  is the ideal of all nilpotent elements of  $R$  (we proved the set of all nilpotent elements is an ideal in Homework 3) and that it is contained in every prime ideal of  $R$ .

**Proof.** If  $a$  is nilpotent, then  $a^n = 0$  for some  $n \geq 1$ , so by definition we have  $a \in \sqrt{(0)}$ . Conversely, if  $a \in \sqrt{(0)}$ , then there exists  $n \geq 1$  such that  $a^n \in (0) = \{0\}$ , so  $a$  is nilpotent. Thus,  $\sqrt{(0)}$  is the ideal of all nilpotent elements of  $R$ .

To prove it is contained in every prime ideal of  $R$ , note that if  $P$  is a (completely) prime ideal in a (not necessarily commutative) ring  $R$ , and  $a^n \in P$  for some  $n \geq 1$ , then  $a \in P$ . Indeed,

inductively, if  $n = 1$  then  $a \in P$ ; and if  $a^k \in P$  implies  $a \in P$ , and  $a^{k+1} \in P$ , then  $aa^k \in P$ , so either  $a \in P$  or  $a^k \in P$  and hence  $a \in P$ .

Now let  $a$  be a nilpotent element of  $R$  and  $P$  a prime ideal of  $R$ . Since  $R$  is commutative,  $P$  is completely prime. Since  $a$  is nilpotent, then  $a^n = 0$  for some  $n \geq 1$ ; thus,  $a^n \in P$ , hence  $a \in P$ . This shows every nilpotent element is contained in every prime ideal of  $R$ , so  $\sqrt{(0)} \subseteq P$  for all prime ideals  $P$ .  $\square$