$\begin{array}{l} \mbox{Definitions} \\ \mbox{Background} \\ \mbox{Cycles} \\ \mbox{Finiteness of } \mathcal{T}C^*(\Lambda) \end{array}$ 

## Which k-Graphs Have AF C\*-algebras?

James Lutley

May 14 2015

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 $\begin{array}{l} \mbox{Definitions} \\ \mbox{Background} \\ \mbox{Cycles} \\ \mbox{Finiteness of } \mathcal{T}C^*(\Lambda) \end{array}$ 

## A C\*-algebra is AF if it is the limit of finite dimensional algebras.

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 $\begin{array}{l} \mbox{Definitions} \\ \mbox{Background} \\ \mbox{Cycles} \\ \mbox{Finiteness of } \mathcal{T}C^*(\Lambda) \end{array}$ 

A C\*-algebra is AF if it is the limit of finite dimensional algebras. Given a k-graph, when is  $C^*(\Lambda)$  AF?

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 $\begin{array}{l} \mbox{Definitions} \\ \mbox{Background} \\ \mbox{Cycles} \\ \mbox{Finiteness of } \mathcal{T}C^*(\Lambda) \end{array}$ 

A C\*-algebra is AF if it is the limit of finite dimensional algebras. Given a *k*-graph, when is  $C^*(\Lambda)$  AF? When is  $\mathcal{T}C^*(\Lambda)$  AF?

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Definitions Background Cycles Finiteness of  $\mathcal{T}C^*(\Lambda)$ 

A C\*-algebra is AF if it is the limit of finite dimensional algebras. Given a *k*-graph, when is  $C^*(\Lambda)$  AF? When is  $\mathcal{T}C^*(\Lambda)$  AF? Is it ever the case that  $C^*(\Lambda)$  is AF when  $\mathcal{T}C^*(\Lambda)$  is not?

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 $\begin{array}{l} \mbox{Definitions} \\ \mbox{Background} \\ \mbox{Cycles} \\ \mbox{Finiteness of } \mathcal{T}C^*(\Lambda) \end{array}$ 

Define  $\mathcal{T}C^*(\Lambda)$  from its representation on  $\ell^2(\Lambda)$  $t_{\lambda}e_{\mu} = e_{\lambda\mu}$  when  $s(\lambda) = r(\mu)$ , 0 otherwise.

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 $t_{\lambda}e_{\mu} = e_{\lambda\mu}$  when  $s(\lambda) = r(\mu)$ , 0 otherwise.  
When  $\Lambda$  is well behaved, (row finite, locally convex) an ideal  $I(\Lambda)$  is generated by

$$\{t_{\nu}-\sum_{\lambda\in\nu\Lambda^{e_i}}t_{\lambda}t_{\lambda}^*:\nu\in\Lambda^0,i\in\{0,\ldots,k-1\}\}$$

where  $e_i$  is the *i*th coordinate vector in  $\mathbb{N}^k$ .

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Define 
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When  $\Lambda$  is well behaved, (row finite, locally convex) an ideal  $I(\Lambda)$  is generated by

$$\{t_{\boldsymbol{v}}-\sum_{\lambda\in\boldsymbol{v}\Lambda^{e_i}}t_{\lambda}t_{\lambda}^*:\boldsymbol{v}\in\Lambda^0,i\in\{0,\ldots,k-1\}\}$$

where  $e_i$  is the *i*th coordinate vector in  $\mathbb{N}^k$ . Let q denote the quotient map. We write  $q(t_\lambda) = s_\lambda$ . Then  $q(\mathcal{T}C^*(\Lambda)) = C^*(\Lambda)$ .

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Definitions Background Cycles Finiteness of  $TC^*(\Lambda)$ 

# Ordinary Graphs

What is the situation for 1-graphs?

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Definitions Background Cycles Finiteness of  $TC^*(\Lambda)$ 

# Ordinary Graphs

## What is the situation for 1-graphs?

# TheoremTFAE**1** $\Lambda$ has no cycles;**2** $C^*(\Lambda)$ is AF;**3** $\mathcal{T}C^*(\Lambda)$ is AF;**3** $\mathcal{T}C^*(\Lambda)$ is finite.

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Definitions Background Cycles Finiteness of  $\mathcal{T}C^*(\Lambda)$ 



 $\Lambda$  must not contain cycles.

Theorem (Evans-Sims)

When  $\Lambda$  contains a cycle with an entry,  $C^*(\Lambda)$  is infinite.

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Definitions Background **Cycles** Finiteness of *TC*<sup>\*</sup>(Λ)



## $\Lambda$ must not contain cycles.

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When  $\Lambda$  contains a cycle with an entry,  $C^*(\Lambda)$  is infinite. When  $\Lambda$  contains a cycle  $\lambda$  without an entry,  $p_{s(\lambda)}C^*(\Lambda)p_{s(\lambda)}$ either does not have a trace or has non-trivial  $K_1$ .

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Definitions Background **Cycles** Finiteness of *TC*<sup>\*</sup>(Λ)



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Since the AF property passes to quotients, it is enough to show that  $C^*(\Lambda)$  is not AF.

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Definitions Background **Cycles** Finiteness of *TC*<sup>\*</sup>(Λ)



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Definitions Background Cycles Finiteness of  $TC^*(\Lambda)$ 



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Since the AF property passes to quotients, it is enough to show that  $C^*(\Lambda)$  is not AF. However, we can easily observe that this is also an obstruction in

 $\mathcal{T}C^*(\Lambda)$ . If  $\lambda$  is a cycle,  $t_{\lambda}^*t_{\lambda} > t_{\lambda}t_{\lambda}^*$  so  $\mathcal{T}C^*(\Lambda)$  is not finite.

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Definitions Background Cycles Finiteness of *TC*\*(Λ)

## Working with subsets of $\Lambda$

We say a set  $E \subset \Lambda$  is *self-invariant* if whenever  $\mu, \lambda$  and  $\lambda \alpha$  are in E, so is  $\mu \alpha$ .

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Definitions Background Cycles Finiteness of *TC*\*(Λ)

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#### Lemma

A has no cycles if and only if every finite set  $E \subset \Lambda$  is contained in a finite self-invariant set.

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## Growth Lemma

Recall how multiplication works in  $\mathcal{T}C^*(\Lambda)$ . We will refer to an element  $t_{\mu}t_{\lambda}^*$  as a monomial.

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 Introduction
 Definitions

 Sufficient Conditions
 Background

 Necessary Conditions
 Cycles

 2-Graphs
 Finiteness of TC\*(Λ)

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Recall how multiplication works in  $\mathcal{T}C^*(\Lambda)$ . We will refer to an element  $t_{\mu}t_{\lambda}^*$  as a monomial. We can regulate the lengths of the indices in product monomials.

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Suppose 
$$t_{\mu_1} t_{\lambda_1}^* t_{\mu_2} t_{\lambda_2}^* = t_{\mu'} t_{\lambda'}^*$$
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#### Corollary

Given a finite set of monomials S, a basis vector  $e_{\alpha}$  can only be sent to finitely other basis vectors by elements of S.

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Definitions Background Cycles Finiteness of *TC*\*(Λ)

## **Finiteness** Theorem

#### Theorem

Suppose  $\Lambda$  is a finitely aligned k-graph. TFAE

Λ has no cycles;

James Lutley Which k-Graphs Have AF C\*-algebras?

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Definitions Background Cycles Finiteness of  $\mathcal{T}C^*(\Lambda)$ 

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- Λ has no cycles;
- **2**  $\mathcal{T}C^*(\Lambda)$  is finite;
- **3**  $\mathcal{T}C^*(\Lambda)$  is quasidiagonal.

It follows that there are many k-graphs for which  $\mathcal{T}C^*(\Lambda)$  is finite but  $C^*(\Lambda)$  is not, something which never happens with 1-graphs.

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

## Locating finite dimensional subalgebras

If we know that a finite set of monomials only ever generates a finite set of monomials, we can immediately conclude that  $\mathcal{TC}^*(\Lambda)$  is AF.

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Finite Dimensional Subalgebras Local Structure of A.

# Locating finite dimensional subalgebras

If we know that a finite set of monomials only ever generates a finite set of monomials, we can immediately conclude that  $\mathcal{T}C^*(\Lambda)$  is AF.

It would perhaps be surprising if there were an AF k-graph algebra which failed to satisfy this condition.

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# Locating finite dimensional subalgebras

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In a k-graph, even in the absence of cycles, a finite set of monomials can generate an infinite dimensional algebra.

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# Locating finite dimensional subalgebras

If we know that a finite set of monomials only ever generates a finite set of monomials, we can immediately conclude that  $\mathcal{T}C^*(\Lambda)$  is AF.

It would perhaps be surprising if there were an AF k-graph algebra which failed to satisfy this condition.

In a *k*-graph, even in the absence of cycles, a finite set of monomials can generate an infinite dimensional algebra. Evans and Sims introduced *generalized cycles*, a certain class of infinite generating monomials and showed that they are an obstruction to  $C^*(\Lambda)$  being AF.

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

# What do these k-graphs look like?

#### Theorem

If  $\Lambda$  is finite and has no cycles then  $\mathcal{T}C^*(\Lambda)$  is finite dimensional.

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

# What do these k-graphs look like?

#### Theorem

If  $\Lambda$  is finite and has no cycles then  $\mathcal{T}C^*(\Lambda)$  is finite dimensional.

#### Lemma

Every finite set of monomials in  $\mathcal{T}C^*(\Lambda)$  generates a finite dimensional subalgebra if and only if every finite set of paths in  $\Lambda$ is contained in a finite set that is self-invariant and closed under taking minimal common extensions.

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

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#### Theorem (Evans-Sims)

 $C^*(\Lambda)$  is AF if and only if every corner  $p_v C^*(\Lambda)p_v$  is AF.
Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

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#### Theorem (Evans-Sims)

 $C^*(\Lambda)$  is AF if and only if every corner  $p_v C^*(\Lambda)p_v$  is AF.

So it is sufficient to check sets of paths in  $v\Lambda$ .

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

#### Types of Finite Sets

# A set $E \subset v\Lambda$ is *orthogonal* if no two paths have a common extension.

Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

#### Types of Finite Sets

A set  $E \subset v\Lambda$  is *orthogonal* if no two paths have a common extension. This is equivalent to saying that  $\sum_{\lambda \in E} s_{\lambda} s_{\lambda}^*$  is a projection.

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#### Types of Finite Sets

A set  $E \subset v\Lambda$  is *orthogonal* if no two paths have a common extension. This is equivalent to saying that  $\sum_{\lambda \in E} s_{\lambda} s_{\lambda}^*$  is a projection.

A set is *complete* if it is self-invariant and closed under minimal common extensions.

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

### Types of Finite Sets

A set  $E \subset v\Lambda$  is *orthogonal* if no two paths have a common extension. This is equivalent to saying that  $\sum_{\lambda \in E} s_{\lambda} s_{\lambda}^*$  is a projection.

A set is *complete* if it is self-invariant and closed under minimal common extensions.

Observe that orthogonal sets are automatically complete.

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

### Relating Finite Completions to Nilpotency

If 
$$S \subset \{0, \ldots, k-1\}$$
, write  $d(\lambda)_S$  to denote  $(d(\lambda)_0 \cdot \chi_S(0), \ldots, d(\lambda)_{k-1} \cdot \chi_S(k-1)).$ 

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

### Relating Finite Completions to Nilpotency

If 
$$S \subset \{0, \ldots, k-1\}$$
, write  $d(\lambda)_S$  to denote  
 $(d(\lambda)_0 \cdot \chi_S(0), \ldots, d(\lambda)_{k-1} \cdot \chi_S(k-1)).$   
We will say that a pair of sets  $E_0, E_1 \subset v\Lambda$  are properly unbalanced  
if there is a partition  $S_0 \sqcup S_1$  of  $\{0, \ldots, k-1\}$  such that for every  
 $\lambda_0 \in E_0$  and  $\lambda_1 \in E_1$ ,  $d(\lambda_i)_{S_i} > d(\lambda_{1-i})_{S_i}$  for  $i = 0, 1$ .

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Finite Dimensional Subalgebras Local Structure of  $\Lambda$ .

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#### Lemma

Let  $\Lambda$  be a row-finite k-graph. When  $E_0, E_1 \subset \Lambda$  are finite properly unbalanced orthogonal sets, then  $E_0 \cup E_1$  has a finite completion if and only if  $(\sum_{\mu \in E_0} t_\mu \sum_{\lambda \in E_1} t_\lambda^*)^n$  is 0 for some n.

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Nilpotency Theorem Proof

#### Nilpotency Theorem

When  $S \subset \{0, \ldots, k-1\}$ , we define  $\Lambda_S$  to be the |S|-graph consisting of paths for which  $d(\lambda) = d(\lambda)_S$ .

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Let  $\Lambda$  be a row-finite k-graph with no sources. Suppose  $E_0 = \{\mu_1, \dots, \mu_m\}$  and  $E_1 = \{\lambda_1, \dots, \lambda_m\} \subset v\Lambda$  are properly unbalanced orthogonal sets, and  $(\sum_{i=1}^m t_{\mu_i} t_{\lambda_i}^*)^n$  is never 0 for any n. If either of  $C^*(\Lambda_{S_i})$  is AF then  $C^*(\Lambda)$  is not AF.

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Set  $V = \sum_{i=1}^{m} t_{\mu_i} t_{\lambda_i}^*$ . Note that this is a partial isometry.

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#### Remarks

This partly generalizes the obstruction identified by Evans and Sims.

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This must hold in an AF algebra, however it is unclear how to construct such a partial isometry or how to prove it cannot exist.

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Nilpotency Theorem Proof

### Sketching the Proof

Let  $\Gamma_k$  be the k-graph with  $\Gamma_k^0 = \{v_n : n \in \mathbb{N}^k\}$  and a unique path of length  $n_1 - n_2$  from  $v_{n_1}$  to  $v_{n_2}$  when  $n_1 > n_2$ .

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Let  $\Gamma_k$  be the *k*-graph with  $\Gamma_k^0 = \{v_n : n \in \mathbb{N}^k\}$  and a unique path of length  $n_1 - n_2$  from  $v_{n_1}$  to  $v_{n_2}$  when  $n_1 > n_2$ . Consider the *k*-graph formed by  $\Lambda_{S_i} \times \Gamma_{k-|S_i|}$ . This has a copy of  $\Lambda_S$  on the graph induced by  $\Lambda^0 \times v_n$  for each *n*. Modify this to put a copy of  $\Lambda$  at  $\Lambda^0 \times v_0$  and call this  $\Lambda_{A(S)}$ .

 $H = \{\Lambda^0 \times v_n : n > 0\}$  is a hereditary and saturated set of vertices

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Consider the *k*-graph formed by  $\Lambda_{S_i} \times \Gamma_{k-|S_i|}$ . This has a copy of  $\Lambda_S$  on the graph induced by  $\Lambda^0 \times v_n$  for each *n*. Modify this to put a copy of  $\Lambda$  at  $\Lambda^0 \times v_0$  and call this  $\Lambda_{A(S)}$ .

 $H = \{\Lambda^0 \times v_n : n > 0\}$  is a hereditary and saturated set of vertices and the ideal it generates is Morita equivalent to  $C^*(\Lambda_{S_i})$ .

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An Ideal Interlude Reconciling Our Two Conditions

#### The Canonical Ideal

Assume  $\Lambda$  is row-finite. Observe that  $\mathcal{T}C^*(\Lambda_S)$  is a hereditary subalgebra of  $I(\Lambda)$  for any proper subset  $S \subset \{0, \ldots, k-1\}$ .

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An Ideal Interlude Reconciling Our Two Conditions

### The Ideal In The 2-Graph Case

#### Proposition

Let  $\Lambda$  be a row-finite 2-graph. Then  $I(\Lambda)$  is AF if and only if  $C^*(\Lambda_{\{1\}})$  and  $C^*(\Lambda_{\{2\}})$  are both AF.

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This says that  $I(\Lambda)$  is AF whenever  $\Lambda$  has no single colour cycles.

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This says that  $I(\Lambda)$  is AF whenever  $\Lambda$  has no single colour cycles.

#### Corollary

Let  $\Lambda$  be a row-finite 2-graph. Then  $\mathcal{T}C^*(\Lambda)$  is AF if and only if  $C^*(\Lambda)$  is AF.

An Ideal Interlude Reconciling Our Two Conditions

# 2-Graphs

We can strengthen our necessary condition in the case of 2-graphs.

#### Theorem

Let  $\Lambda$  be a row-finite 2-graph with no sources. Suppose  $E_0 = \{\mu_1, \ldots, \mu_m\}$  and  $E_1 = \{\lambda_1, \ldots, \lambda_m\} \subset v\Lambda$  are finite properly unbalanced orthogonal sets, and  $(\sum_{i=1}^m t_{\mu_i} t_{\lambda_i}^*)^n$  is never 0 for any n. Then  $C^*(\Lambda)$  is not AF.

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Recall our nilpotency-based sufficient condition for finite completions.

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Recall our nilpotency-based sufficient condition for finite completions.

#### Lemma

Let  $\Lambda$  be a row-finite k-graph. When  $E_0, E_1 \subset \Lambda$  are finite properly unbalanced orthogonal sets, then  $E_0 \cup E_1$  has a finite completion if and only if  $(\sum_{\mu \in E_0} t_\mu \sum_{\lambda \in E_1} t_\lambda^*)^n$  is 0 for some n.

An Ideal Interlude Reconciling Our Two Conditions

#### How To Construct Partial Isometries

Thus, if we can take an arbitrary finite subset E and find a pair  $E_0$  and  $E_1$  of properly unbalanced orthogonal sets which have finite completion if and only if E does, we can test finite completions in terms of nilpotency.

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An Ideal Interlude Reconciling Our Two Conditions

### How To Construct Partial Isometries

Thus, if we can take an arbitrary finite subset E and find a pair  $E_0$ and  $E_1$  of properly unbalanced orthogonal sets which have finite completion if and only if E does, we can test finite completions in terms of nilpotency. If we can also put  $E_0$  and  $E_1$  into bijection then we can characterize the AF property in terms of nilpotency.

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An Ideal Interlude Reconciling Our Two Conditions

### Reducing Complexity

We can make headway if we insist that when  $\mu, \lambda \in v\Lambda w$ , it is never the case that  $d(\mu) > d(\lambda)$ .

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# Reducing Complexity

We can make headway if we insist that when  $\mu, \lambda \in v\Lambda w$ , it is never the case that  $d(\mu) > d(\lambda)$ . We say that a k-graph with this property is *fair*.

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#### Proposition

Let  $\Lambda$  be a fair row-finite 2-graph. If  $(\sum_{\mu \in E_0} t_{\mu} \sum_{\lambda \in E_1} t_{\lambda}^*)^n$  is 0 for some n for every pair  $E_0, E_1 \subset \Lambda$  of finite properly unbalanced sets then  $C^*(\Lambda)$  is AF.

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An Ideal Interlude Reconciling Our Two Conditions

# **Bijections**?

To create a partial isometry we need to put these in bijection at each vertex in  $s(E_0) \cap s(E_1)$ .

An Ideal Interlude Reconciling Our Two Conditions

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To create a partial isometry we need to put these in bijection at each vertex in  $s(E_0) \cap s(E_1)$ . There is no reason to expect this is possible.

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To create a partial isometry we need to put these in bijection at each vertex in  $s(E_0) \cap s(E_1)$ . There is no reason to expect this is possible. We will instead assume the existence of certain paired sets which generate everything.

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A subset E of  $v\Lambda$  is *exhaustive* if every sufficiently long path with range v has an element of E as an initial subpath. It is also true that any sufficiently short path is itself a subpath of an element in E.

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An Ideal Interlude Reconciling Our Two Conditions

# Generating Sets

#### Definition

We say that two subsets  $E_0$ ,  $E_1$  of  $v\Lambda$  form a matching tree that is rooted at v when

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(1)  $E_i$  are orthogonal exhaustive properly unbalanced sets with  $d(E_i)_i > d(E_{i-1})_i$ ;

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- (2) for each vertex w in s(E<sub>i</sub>) \ s(E<sub>1-i</sub>) and every path μ in vE<sub>i</sub>w, it is never the case that there exists x ∈ Λ<sup>0</sup>, α ∈ wΛ<sub>{i}</sub>x , λ ∈ E<sub>1-i</sub> and β ∈ vΛ<sub>{1-i}</sub>x.

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We say that  $E_i$  form a perfect matching tree if

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- (1)  $E_i$  are orthogonal exhaustive properly unbalanced sets with  $d(E_i)_i > d(E_{i-1})_i$ ;
- (2) for each vertex w in  $s(E_i) \setminus s(E_{1-i})$  and every path  $\mu$  in  $vE_iw$ , it is never the case that there exists  $x \in \Lambda^0$ ,  $\alpha \in w\Lambda_{\{i\}}^{-1}x$ ,

 $\lambda \in E_{1-i}$  and  $\beta \in v\Lambda_{\{1-i\}}x$ .

We say that  $E_i$  form a perfect matching tree if

(3) for every  $v \in s(E_0) \cap s(E_1)$ ,  $|E_0v| = |E_1v|$ .

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An Ideal Interlude Reconciling Our Two Conditions

### Well Behaved Sets

Suppose  $E_0$ ,  $E_1$  form a perfect matching tree and write  $S = s(E_0) \cap s(E_1)$ . Choose a bijection  $\Phi : E_0 \cap s^{-1}(S) \to E_1 \cap s^{-1}(S)$  which preserves sources.

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$$V_{\Phi} = \sum_{\lambda \in E_0 \cap s^{-1}(S)} s_{\lambda} s^*_{\Phi(\lambda)}.$$

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Nilpotency of  $V_{\Phi}$  is independent of the choice of  $\Phi$ . Thus we say a perfect matching tree is nilpotent when  $V_{\Phi}$  is nilpotent for some  $\Phi$ . If we assume that  $\Lambda$  contains lots of perfect matching trees then we can characterize when  $C^*(\Lambda)$  is AF.

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### A Characterization

#### Theorem

### Suppose that $\Lambda$ is a fair row-finite source-free 2-graph

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## A Characterization

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Suppose that  $\Lambda$  is a fair row-finite source-free 2-graph such that for every  $v \in \Lambda^0$  and every finite  $E \subset v\Lambda$ , there exists a perfect matching tree  $E_0, E_1$  such that every path in E is a subpath of paths in  $E_0$  and  $E_1$ . Then  $C^*(\Lambda)$  is AF if and only if every perfect matching tree is nilpotent.

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### Thank you.

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